



Research at Columbia Business School

Research Events

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Workshop on using the New York Census Data Research Center (NYCRDC)

Diane Gibson, Executive Director of the NYRDC-Baruch and Shirley Liu, Administrator of the NYRDC-Baruch will be leading a workshop on conducting research at the NYRDC **on Tuesday, November 17 from 4:00pm - 5:00pm in Uris Hall, Room 301.**

If you are unable to attend please encourage your PhD students, post-docs, and other affiliated researchers to join us.

The NYRDC provides researchers with the opportunity to conduct approved projects that use restricted-access microdata collected by the U.S. Census Bureau, the National Center for Health Statistics, and the Agency for Healthcare Research and Quality at physically secure facilities at Baruch College, Cornell University, and Yale University. Membership in the NYRDC Consortium covers access fees for faculty members, graduate students, and researchers affiliated with member institutions. More information about the NYRDC is available on the NYRDC website:

<http://www.ciser.cornell.edu/NYCRDC/home.shtml>.

The workshop will:

- Provide examples of the types of questions that can be addressed using RDC data,
- Describe the restricted-access demographic, economic, and health data available through the NYRDC,
- Explain the process of applying to use the NYRDC.

Please let me know if you have any questions.

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Faculty News - Recent Grants, Awards, Publications

Grants and Awards

Accounting Division:

- **Sharon Katz**, the Gary Winnick and Martin Granoff Associate Professor of Business, was awarded the Dean's Award for Teaching Excellence.
- **Shivaram Rajgopal**, the Roy Bernard Kester and T.W. Byrnes Professor of Accounting and Auditing, was awarded the \$10,000 Glen McLaughlin Award for Research in Accounting Ethics for the paper "Stock Picking Skills of SEC Employees."

Decision, Risk, and Operations Division

- **Paul Glasserman**, the Jack R. Anderson Professor of Business, was the Wasserstrom Family Distinguished Lecturer at Northwestern University.
- **Costis Maglaras**, the David and Lyn Silfen Professor of Business, was invited to deliver the CFM-Imperial Distinguished Lecture Series at Imperial College London in Algorithmic Trading

and Microstructure of Limit Order Books.

Finance and Economics Division

- **Emily Breza**, assistant professor, was awarded a \$407,882 grant from the National Science Foundation for the project, "Relative Pay Comparisons in the Workplace."
- **Charles Calomiris**, the Henry Kaufman Professor of Financial Institutions, received:
 - The Bank for International Settlements Visiting Research Fellowship; and
 - The American Publishers 2015 Award for best book in the area of Business, Finance, and Management for *Fragile By Design: The Political Origins of Banking Crises and Scarce Credit*.
- **Charles Calomiris**, the Henry Kaufman Professor of Financial Institutions, and **Mauricio Larrain**, assistant professor, received:
 - The Chazen Institute of International Business Research grant for the project "How Collateral Laws Shape Lending and Sectoral Activity;" and
 - The Trust Company of the West Best Paper Award for "How Collateral Laws Shape Lending and Sectoral Activity," at the 2015 China International Conference in Finance.
- **Amit Khandelwal**, professor, was honored with the Kiel Institute's Excellence Award in Global Economic Affairs.
- **Mauricio Larrain**, assistant professor
 - Was named a Rising Scholar from the *Review of Financial Studies*
 - Was awarded the TCW Best Paper Award China International Conference in Finance
 - Was awarded a grant from the Chazen Institute of International Business Research

- **Andreas Mueller**, assistant professor, received a \$115,989 research grant awarded by the National Bureau of Economic Research's SSA Disability Research Consortium.
- **Martin Oehmke**, the Roger F. Murray Associate Professor of Finance, received:
 - The Pagano-Zechner Prize for best non-investments paper "Predatory Short Selling" published in the *Review of Finance*; and
 - The Best Discussant Award at the Mitsui Life Finance Symposium.
- **Pierre Yared**, Associate Professor of Business, was named a Provost Leadership Fellow at Columbia University.

Management Division

- **Katherine Phillips**, senior vice dean and the Paul Calello Professor of Leadership and Ethics, is the principal investigator on a grant of \$147,397 from the Netherlands Organisation for Scientific Research for the research project "The Glass Ceiling for Women and Racial-Ethnic Minorities," in support of postdoctoral fellow Seval Gündemir.
- **Elke Weber**, the Jerome A. Chazen Professor International Business:
 - Received a \$278,322 grant from Clemson University, via the National Science Foundation, for her project "Intentional Defaults for More Sustainable Infrastructure: Studying interventions to Alleviate Decision Biases in Upstream, Multi-stakeholder Decisions;" and
 - Received \$650,000 from the National Science Foundation for the project "Center for Research on Environmental Decisions (CRED) Renewal: Understanding and Improving Environmental Decisions."
- **Aaron Wallen** received the 2015 Dr. Gene Tranbarger Writing Award for his article "It's About Respect: Gender-Professional Identity

Integration Affects Male Nurses' Job Attitude",
Psychology of Men & Masculinity.

Marketing Division

- **Eva Ascarza**, assistant professor, was honored as the AMA Seth Foundation Doctoral Consortium Faculty Fellow for 2015.
- **Kinshuk Jerath**, the Class of 1967 Associate Professor of Business, was honored as the invited faculty speaker at the ISMS Doctoral Student Consortium at Marketing Science Conference.
- **Gita Johar**, the Meyer Feldberg Professor of Business, and **Keith Wilcox**, the Barbara and Meyer Feldberg Associate Professor of Business, received a grant of \$10,000 from the Marketing Science Institute for the project "Thinking About Financial Deprivation: Rumination and Decision Making Among the Poor."
- **Donald Lehmann**, the George E. Warren Professor of Business:
 - Received the Best Paper Award from the *International Journal of Research in Marketing, Special Issue on Innovation*, for his paper "The Truth Hurts: How Customers May Lose from Honest Advertising," coauthored by Kopalle K. Praveen, associate dean of the MBA Program and the Signal Companies' Professor of Management at the Tuck School of Business, Dartmouth;
 - Received the 2015 *International Journal of Research in Marketing* Best Paper Award, for his paper "Choosing a Digital Content Strategy: How Much Should be Free?," coauthored by Oded Koenigsberg, associate professor of Marketing at London Business School; and
 - Was named an American Marketing Association Fellow for the 2015 AMA Marketing Theory Track.

Publications

Accounting Division:

- **Dan Amiram**
 - "Foreign Portfolio Investment and Shareholder Dividend Taxes," with Mary Margaret Frank, *The Accounting Review* (Forthcoming)
 - "Financial Statement Errors: Evidence from the Distributional Properties of Financial Statement Numbers," with Zahn Bozanic and Ethan Rouen, *Review of Accounting Studies* (forthcoming)
- **Edwige Cheynel**, "Asset measurement in imperfect credit markets," with Jeremy Bertomeu, *Journal of Accounting Research* (forthcoming)
- **Urooj Khan**, "Usefulness of fair values for predicting banks' future earnings: Evidence from other comprehensive income and its components," with Brian Bratten and Monika Causholli, *Review of Accounting Studies*

Management Division:

- **Joel Brockner**, "Riding the Fifth Wave: Organizational Justice as Dependent Variable," with Batia Wiesenfeld, Phyllis Siegel, Ramona Bobocel, and Zhi Liu, *Research in Organizational Behavior*
- **Adam Galinsky**, *Friend or Foe: When to Cooperate, When to Compete, and How to Succeed at Both*
- **Evan Rawley**, "Why are Firms Rigid? A General Framework and Empirical Tests," with Rui De Figueirido Jr and Christopher Rider, *Organization Science*

Finance Division:

- **Geert Bekaert**
 - “What do asset prices have to say about risk appetite and uncertainty?” with Marie Hoerova, accepted at the *Journal of Banking and Finance*
 - “Asset Return Dynamics under Habits and Bad Environment Good Environment Fundamentals,” with Eric Engstrom, accepted at the *Journal of Political Economy*

- **Mauricio Larrain**
 - “Enlarging the Contracting Space: Collateral Menus, Access to Credit, and Economic Activity,” with Murillo Campello, *Review of Financial Studies*
 - “Capital Account Opening and Wage Inequality,” *Review of Financial Studies*

- **Wei Jiang**
 - “Out-of-the-Money CEOs: Inferring Private Control Premium from CEO Option Exercises,” with Vyacheslav Fos, *Review of Financial Studies* (forthcoming)
 - “Feedback Effects and the Limits to Arbitrage,” with Alex Edmans and Itay Goldstein, *American Economic Review* (forthcoming)
 - “The Real Effects of Hedge Fund Activism: Productivity, Capital Reallocation, and Product Market Concentration,” with Alon Brav and Hyunseob Kim, *Review of Financial Studies*, October 2015, vol. 28, 2723-2769. Lead article.
 - “The Long-Term Effects of Hedge Fund Activism,” with Lucian Bebchuk and Alon Brav, *Columbia Law Review*, June 2015, vol. 115, 1085-1156.

- **Frank Lichtenberg**
 - “The impact of pharmaceutical innovation on premature cancer mortality in Switzerland, 1995-2012,” *European Journal of Health Economics*, First online:

05 September 2015.

- "The Impact of Pharmaceutical Innovation on Premature Cancer Mortality in Canada, 2000-2011," *International Journal of Health Economics and Management* 15(3):339-359, June 2015
- **Lars Lochstoer**, "Parameter Learning in General Equilibrium: The Asset Pricing Implications," joint work with Michael Johannes and Pierre Collin-Dufresne, *American Economic Review* (forthcoming)
- **Nachum Sicherman**, "Financial Attention," with George Loewenstein, Duane Seppi, and Stephen Utkus *Review of Financial Studies* (forthcoming)

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External Research Funding Opportunities

GRANTS

ONGOING (No deadline)

- **Alfred P. Sloan Foundation.** *Opportunities in the Economics and Digital Information Technology programs include: Behavioral Economics and Household Finance, Economic Analysis of Science and Technology, Working Longer (understanding of aging Americans' work patterns), Data and Computational Research (developing tools for data-driven, computation-intensive research).*
- **Ewing Marion Kauffman Foundation.** *Support for research projects on entrepreneurship. The Foundation will review abstracts prior to the submission of formal inquiries.*
- **Institute for New Economic Thinking (INET).** *Calls for grants are announced regularly; see website for further details.*
- **Smith Richardson Foundation.** *Relevant funding areas include the International Security*

and Foreign Policy Program and the Domestic Public Policy Program.

- **Peter G. Peterson Foundation.** *Support for projects that will address the urgent challenges of America's fiscal future, with a focus on generating non-partisan, non-ideological solutions.*
- **Russell Sage Foundation Small Grants in Behavioral Economics.** *Junior (non-tenured) faculty who have been out of graduate school for no more than two years are eligible.*
- **Institute of Management Accountants (IMA).** *IMA's Research Foundation funds timely research in accounting and financial management subjects.*
- **The Moody's Foundation.** *Supports projects in economics and finance, including economic development.*
- **Marketing Science Institute Research Support.** *Support for research that deals with topics of importance to member companies, projects with the potential for application by managers as well as more basic or exploratory work.*

UPCOMING DEADLINES

November

- **11/20/2015 – Bank of England.** *Support for research projects and workshops/conferences that fall under the One Bank Research Agenda: policy frameworks and interactions; evaluating regulation, resolution, and market structures; policy operationalization and implementation; new data, methodologies and approaches; and response to fundamental change.*
- **11/27/2015 – Fondation Banque de France Research grants.** *Support for research projects conducive to topical papers in the field of Money, Finance and Banking. Projects should aim to*

produce a single paper suitable for submission to a major journal.

December

- **12/01/2015 – National Center for the Middle Market Research Grants.** *Support for research that will benefit the middle market, especially projects designed to generate data and insight that can accelerate growth, increase competitiveness, or create jobs for the middle market. This year, proposals especially sought in: Operations, Talent/Culture/HR, Growth & Innovation, Access to Capital, and Economics/Policy.*
- **12/01/2015 – NSF Interdisciplinary Behavioral and Social Science Research (IBSS).** *Support for research by teams of investigators from multiple fields in behavioral and social science.*
- **12/10/2015 – NSF Smart and Connected Health.** *To support research that will aid in the transformation of healthcare from reactive and hospital-centered to preventive, proactive, evidence-based, person-centered and focused on well-being rather than disease.*
- **12/10/2015 – NSF Integrative Strategies for Understanding Neural and Cognitive Systems (NSF-NCS).** *Letter of intent deadline. Full proposal due 1/26/2016. Support for innovative, boundary-crossing research on brain and behavior that create synergistic links across investigators and communities.*

January

- **1/15/2016 – NSF Social Psychology.** *Supports basic research on human social behavior, including cultural differences and*

development over the life span.

- **1/15/2016 – NSF Sociology.** *Supports basic research on all forms of human social organization—societies, institutions, groups and demography—and processes of individual and institutional change.*
- **1/05/2016 – Russell Sage Foundation Project Award.** *Letter of inquiry deadline. Relevant programs include Future of Work (examining the causes and consequences of the declining quality of jobs for less- and moderately-educated workers in the US economy and the role of changes in employer practices, the labor market, and public policies); and Social Inequality (addressing the extent to which increased economic inequality has affected equality of opportunity, social mobility, and the intergenerational transmission of advantage)*
- **1/18/2016 – NSF Economics.** *Supports research in econometrics, economic history, environmental economics, finance, industrial organization, international economics, labor economics, macroeconomics, mathematical economics, and public finance.*
- **1/18/2016 – NSF Decision, Risk and Management Science.** *Supports projects in the areas of judgment and decision making; decision analysis and decision aids; risk analysis, perception, and communication; societal and public policy decision making; management science and organizational design.*
- **1/19/2016 – Ewing Marion Kauffman Foundation Junior Faculty Fellowship in Entrepreneurial Research.** *Nomination deadline for tenured or tenure-track junior faculty members who are beginning to establish a record of scholarship and exhibit the potential to make significant contributions to the body of research in the field of entrepreneurship.*
- **1/28/2016 – NSF Methodology, Measurement, and Statistics.** *Supports the*

development of innovative, analytical, and statistical methods and models for the social, behavioral and economic sciences.

- **1/31/2016 –Steven H. Sandell Grant Program (Center for Retirement Research, Boston College)**. *Funding for junior scholars from all academic disciplines to pursue cutting-edge projects on retirement income issues. Priority areas include social security, macroeconomic analyses of social security, wealth and retirement income, program interactions, international research, and demographic research*

Online grants calendar

Please reference the grant opportunities page of the faculty governance website for a calendar of current and future grant opportunities at <http://www8.gsb.columbia.edu/faculty-staff/faculty-governance/research/grant-opportunities>. If you are considering a grant application and would like to discuss the process or your proposed topic please contact Masi Asare, Senior Associate Director of Sponsored Projects and Foundation Relations, at mo2437@gsb.columbia.edu.

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New Databases and Other News from Watson Library

The [Watson Library of Business and Economics](#) recently acquired (or is in the process of acquiring) the following resources:

[ISS Incentive Lab](#) (contact business@library.columbia.edu for access information):

Provides data that help address the key issues brought about by the growing complexity of executive compensation plans, such as:

- Valuing performance-based awards to better test

- and understand the impact of design changes
- Evaluating award design choices such as metric selection and goal setting
 - Identifying key differences in compensation levels and design using peer comparisons

[Economatica](#) (coming soon!)

Provides detailed financial information on companies in Latin America's six major markets

To come in the Spring: [National Sample Survey data](#) from India and India's [Annual Survey of Industries - firm level data](#)

For further information or to request additional databases, please contact [Kathleen Dreyer](#), Head of Watson Library.

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Research Computing News

This summer we completed our storage expansion project, adding 80Terabytes of disk space to the Research Grid. A new 10 Terabyte scratch location for working data has been added for all to use free-of-charge. Contact [Research Support](#) for more details.

The following software packages have been installed:

- Sas 9.4 - Launch with Sas94
- Gauss15 - Launch with gauss
- Stata14 - Launch with: stata14, stata14mp, stata14se, xstata14, xstata14mp, or xstata14se
- SPSS - Launch with spss23
- Gurobi for R-3.0.2 and R-3.1.2

For more details or to schedule grid training, please contact [Research Support](#).

Additional information about the Research Grid can be found on our wiki: <http://wiki.gsb.columbia.edu/research>.

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Contact Us

We would appreciate any comments and suggestions on the content of this newsletter. We would also like to invite you to share with us any achievements, grants, awards or any other research-related news.

Thank you

[Katherine Phillips](#), Senior Vice Dean

[Khaled N. Hamdy](#), Director of Research and Planning

[Kerith Gardner](#), Assistant Dean of Faculty Affairs

More information and resources on research issues can be found on the [external](#) and the [internal](#) research websites.

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