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## Research at Columbia Business School

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### Effort reporting

This fiscal year's annual effort reporting certification period is now open and will remain open until December 14.

- All Officers of Instruction and Officers of Research other than postdocs ("Faculty") who receive sponsored project funding or apply effort to any sponsored projects are required to certify their own effort.
- Principal investigators are required to certify the effort of postdocs, graduate students and staff funded by their grants.
- Effort can be certified on [ECRT](#), the University's on-line effort reporting tool.

If you have any questions about the certification process please contact [Dimitra Koutsantoni](#)

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### Grants orientation sessions

The slots for the first grants orientation session on Tuesday November 15th have now been filled. The session is part of

### Funding Opportunities

NOVEMBER 14, 2011

[U.S. – Israel Binational Science Foundation \(BSF\)-Psychology](#)

NOVEMBER 16, 2011

[National Science Foundation: Graduate Research Fellowship Program \(GRFP\)](#)

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DECEMBER 6, 2011

[National Endowment for Financial Education Grants Program](#)

DECEMBER 6, 2011

[National Science Foundation: Interface between Computer Science and Economics & Social Sciences \(ICES\)](#)

DECEMBER 8, 2011

[U.S. – Israel Binational Science Foundation \(BSF\)-Prof. Rahamimoff Travel Grants for Young Scientists \(PhD students and Post-Doc fellows\)](#)

series of such workshops that will be offered on a regular basis.

Two more sessions will take place in the Spring Term:

- **February 29, 2012.** 12-30-1.30: The session will focus on grant opportunities offered by Foundations, Corporations, Professional Associations and Institutes and International funding agencies
- **March 21, 2012.** 12..30-1.30: This session will focus on Government funding agencies (mainly NSF)

If you would like to attend any of these sessions, please contact [Dimitra Koutsantoni](#)

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## **SSRN Columbia Business School Research Series: update**

We have now completed the uploading of working papers by faculty members that gave us permission to do so, and we have moved to the next phase of the project: the uploading of faculty published papers. We are in the process of uploading recent (2009 onwards) papers that are available on faculty directory pages. If you have published journal papers that are not available on your faculty directory page and you would like them uploaded on SSRN, please contact [Dimitra Koutsantoni](#).

SSRN has been named the Number 1 Open Access Repository in the World (for July, 2011) by the Ranking Web of World Repositories.

[Columbia Business School Research Paper Series](#)

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## **Overviews on Foundations: Alcoa Foundation**

Alcoa Foundation was founded more than 50 years ago and is one of the largest corporate foundations in the United States. The Foundation has invested more than US \$530 million since 1952. Alcoa seeks to promote environmental stewardship,

DECEMBER 15, 2011

[United States-Japan Foundation:  
US-Japan Policy Grants Program](#)

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JANUARY 27, 2012

[Center for Retirement Research at  
Boston College: Steven H. Sandell  
Grant Program](#)

[Center for Retirement Research at  
Boston College: Dissertation  
Fellowship Program](#)

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NO DEADLINE

- [Association of Certified Chartered Accountants \(ACCA\) Research Program Funding](#)
- [Marketing Science Institute Research Support](#)
- [Moody's Credit Markets Research Fund](#)
- [Robert Wood Johnson Foundation: Changes in Health Care Financing and Organization \(HCFO\)](#)
- [Russell Sage Foundation: Small grants program in Behavioral Economics](#)
- [Sloan Foundation: Economic Performance and Quality of Life Program Grants](#)

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For further information and assistance with preparing a letter of inquiry or proposals please contact [Dimitra Koutsantoni](#), Sponsored Projects and Research Officer. Information on grant application procedures and tips for proposal preparation can be

prepare tomorrow's leaders, and enable economic and social sustainability. The foundation focuses on four program areas:

found on the [Research Support](#) web pages.

- (1) Environment: Bring about meaningful and systemic improvements in environmental sustainability by supporting research, dialogue, standards, and actions.
- (2) Empowerment: Promote self-sufficiency and advance sustainable livelihoods for individuals in the communities where Alcoa operates.
- (3) Education: Support educational endeavors where Alcoa, as an integrated mining, manufacturing and innovation company, can offer expertise and make a difference.
- (4) Sustainable Design: Advance sustainable industrial and product designs that address challenges presented by global megatrends relevant to urbanization and transportation.

Foundation funds primarily in Pennsylvania and New York. Proposals can be submitted throughout the year to the nearest Alcoa office.

#### [Further details](#)

For further information on foundation funding, please contact [Kristen de Paor](#), Director of Corporate and Foundation Relations or [Pam Wilkie](#), Senior Associate Director of Corporate and Foundation Relations.

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## **New Columbia Sponsored Projects Administration (SPA) contact form**

Please be advised that from now on, if you need to contact Columbia Sponsored Projects Administration (SPA) you will need to use a new [inquiry form](#).

However, you are advised to contact [Dimitra Koutsantoni](#) with any inquiry first. Dimitra serves as liaison between the Business School and SPA.

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## **Upcoming research events**

### **Upcoming research events**

[Inaugural Program for Financial Studies Conference: "Finance](#)

[in a World of Uncertainties".](#)

Friday, November 4, 2011, 7:30-10:30 a.m.

Faculty House, 64 Morningside Drive, New York, New York.

[The Art of Choosing Lecture Series](#)

November 14 and 15, 2011, 6-8:00 p.m.

Warren Hall

You can find more scheduled research events on the [Research calendar](#)

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## **Selected recently published papers**

### **Accounting**

Gupta, Sanjay, Yuhchang Hwang and Andrew Schmidt.

"Structural Change in the Research and Experimentation Tax Credit: Success or Failure?" *National Tax Journal*, (published online June 2011)

Yonca Ertimur, Fabrizio Ferri and Volkan Muslu. Shareholder Activism and CEO Pay. *Review of Financial Studies*, issue 20, vol.24 (2011)

### **Decision, Risk and Operations**

Zeevi, Assaf and Alexander Goldenshluger. "A note on performance limitations in Bandit problems with side information." *IEEE Transactions on Information Theory*, issue 3, volume 57, (2011) pp. 1707 – 1713.

Zeevi, Assaf and Gad Allon. "On the relationship among inventory, pricing and capacity decisions in make-to-stock systems with stochastic demand." *Production and Operations Management*, issue 1, vol. 20, (2011) pp. 143–151.

### **Finance and Economics**

Bolton, Patrick and Martin Oehmke. "Credit Default Swaps and the Empty Creditor Problem." *Review of Financial Studies*, (published online February 2011).

Chen, Hui, Jianjun Miao and Neng Wang. "Entrepreneurial finance and non-diversifiable risk." *Review of Financial*

Studies, issue 12, vol. 23, (2011) pp. 4348-4388.

Huberman, Gur, Paolo Guasoni and Zhenyu Wang.  
"Performance Maximization of Actively Managed Funds."  
Journal of Financial Economics, issue 3, vol. 101, (2011) pp.  
574-595.

## **Management**

Ichniowski, Casey, Ann Bartel, Richard Freeman and Morris Kleiner. "Can a Workplace Have an Attitude Problem: Workplace Effects on Employee Attitudes and Organizational Performance." *Labour Economics*, issue 4, vol. 18, (2011) pp. 411-423.

Kuwabara, Ko. "Cohesion, Cooperation, and the Value of 'Doing Things Together': How Economic Exchange Creates Relational Bonds in Exchange Relations." *American Sociological Review*, (published online 2011).

Morris, Michael W. and Aurelia Mok "Isolating effects of cultural schemas: Cultural priming shifts Asian-Americans' biases in social description and memory." *Journal of Experimental Social Psychology*, issue 1, vol. 47, (2011) pp. 117-126.

## **Marketing**

Kivetz, Ran and Anat Keinan "Productivity Orientation and the Consumption of Collectable Experiences." *Journal of Consumer Research*, issue 6, vol. 37, (2011) pp. 935-950.

Koenigsberg, Oded, Rajeev Kohli and Ricardo Esteban Montoya. "The Design of Durable Goods." *Marketing Science*, issue 1, vol. 30, (2011) pp. 111-122

Kunz, Werner, Bernd Schmitt and Anton Meyer. "How Does Perceived Firm Innovativeness Affect the Consumer?" *Journal of Business Research*, issue 8, vol. 64, (2011) pp. 816-822.

## **More 2011 publications**

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## **Contact Us**

We would appreciate any comments and suggestions on the

content of this newsletter. We would also like to invite you to share with us any achievements, grants, awards or any other research-related news.

Thank you

[Gita Johar](#), Senior Vice Dean

[Assaf Zeevi](#), Vice Dean for Research

[Kerith Gardner](#), Director of Faculty Affairs and Special Projects

[Dimitra Koutsantoni](#), Sponsored Projects and Research Officer

More information and resources on research issues can be found on the internal [research website](#)

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